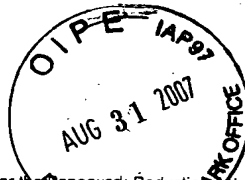


IFN



AMENDMENT TRANSMITTAL LETTER			Docket No. SON-2599		
Application No. 10/501,159-Conf. #5399	Filing Date July 13, 2004	Examiner T. A. Goma	Art Unit 2627		
Applicant(s): Tsutomu Watada et al.					
Invention: Optical head device, and optical recording/reproducing device					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 22 =	0	x 50.00	0.00
Independent Claims	2	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity					
<input checked="" type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____ A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>18-0013</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
Ronald P. Kananen Attorney/Agent Reg. No. 24,104 RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750			Dated: <u>August 31, 2007</u>		



FEE TRANSMITTAL For FY 2006		Complete if Known	
		Application Number	10/501,159-Conf. #5399
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Filing Date	July 13, 2004
First Named Inventor		Tetsu WATANABE	
Examiner Name		T. A. Goma	
Art Unit		2627	
Attorney Docket No.		SON-2599	
TOTAL AMOUNT OF PAYMENT		(\$) 100.00	

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
22	2	2	50.00	100.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
2	0	200.00	0.00

HP = highest number of independent claims paid for, if greater than 3.

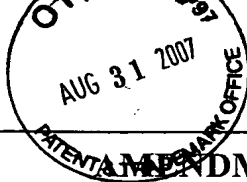
4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): _____

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	24,104 29,211	Telephone	(202) 955-3750
Name (Print/Type)	Ronald P. Kananen Carl Schaukowitch			Date	March 8, 2007



PATENT AMENDMENT TRANSMITTAL LETTER				Docket No. SON-2599	
Application No. 10/501,159-Conf. #5399	Filing Date July 13, 2004	Examiner T. A. Goma	Art Unit 2627		
Applicant(s): Tetsu WATANABE et al.					
Invention: OPTICAL HEAD APPARATUS AND OPTICAL RECORDING/REPRODUCING APPARATUS					
TO THE COMMISSIONER FOR PATENTS					
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Independent Claims	2	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					100.00
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>18-0013</u> in the amount of \$ <u>100.00</u> . A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>18-0013</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Ronald P. Kananen Attorney/Agent Reg. No.: 24,104				Dated: <u>March 8, 2007</u>	
Carl Schaukowitch Attorney/Agent Reg. No.: 24,104 RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750					



Docket No.: SON-2599
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Tsutomu Watada et al.

Application No.: 10/501,159

Confirmation No.: 5399

Filed: July 13, 2004

Art Unit: 2627

For: Optical head device, and optical
recording/reproducing device

Examiner: T. A. Goma

**RESUBMISSION OF RESPONSE TO
MARCH 8, 2007 NON-FINAL OFFICE ACTION**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant gratefully wishes to thank the Examiner for the telephone call of August 27, 2007 to discuss the submission of the response to the non-final Office Action mailed on January 23, 2007.

A Response Under 37 C.F.R. §1.111 to the Non-Final Office Action, a clean copy and red-lined copy of a substitute specification were filed on March 8, 2007. A copy of the Response, and the substitute specification, along with a copy of the postcard receipt, is provided herewith.

Acknowledgment and entry of the Response, and the substitute specification is respectfully requested.

Please take these documents into account in the examination of this application and make their consideration of record.

If the Examiner has any comments or suggestions that could place this application in even better form, the Examiner is requested to telephone Ronald P. Kananen, Reg. No. 24,101 at 202-955-3750. If any fee is required, the Commissioner is hereby authorized to charge the fee to Deposit Account # 18-0013.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 18-0013, under Order No. SON-2599 from which the undersigned is authorized to draw.

Dated: August 31, 2007

Respectfully submitted,

By 

Ronald P. Kananen

Registration No.: 24,104

Carl Schaukowitch

Registration No.: 29,211

RADER, FISHMAN & GRAUER PLLC

Correspondence Customer Number: 23353

Attorneys for Applicant



Atty Docket No.: SON-2599
(80001-2599)

Inventor: Tetsu WATANABE et al.

Application No.: 10/501,159-Conf. #5399 Filing Date: July 13, 2004
Title: OPTICAL HEAD APPARATUS AND OPTICAL RECORDING/REPRODUCING APPARATUS

Documents Filed:

Fee Transmittal (1 page)

Amendment Transmittal (1 page)

Amendment in Response to Non-Final Office Action (7 pages)

Clean Version of Specification (66 pages)

Marked-up Version of Specification (70 pages)

Charge \$100.00 to deposit account 18-0013



Via: PTO Daily Run

Sender's Initials: RPK/CS/mab

Date: March 8, 2007

CS